IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
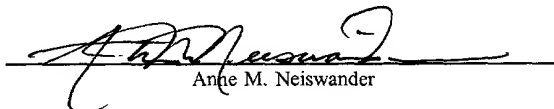
Box Patent Application
Assistant Commissioner For Patents
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Patrick Hearing, Wadie F. Bahou, Ziv Sandalon and Dmitri V. Gnatenko for **HYBRID ADENOVIRUS/ADENO-ASSOCIATED VIRUS VECTORS AND METHODS OF USE THEREOF.**

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the U.S. Postal Service on this date **February 12, 2001** in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number **EL 476 361 610 US** addressed to: **Box Patent Application,** Assistant Commissioner For Patents, Washington, D.C. 20231.

Anne M. Neiswander

1. **Type Of Application**
    This new application is for a(n)
    ☒   Original (nonprovisional)

2. **Papers Enclosed That Are Required For Filing Date Under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 (Design) Application**
    266   Pages of Specification;
    10   Pages of Claims;
    1   Page of Abstract; and
    7   Sheets of Informal Drawings.

3. **Declaration**
    ☒   Enclosed
        ☒   Executed by inventors.

4. **Inventorship Statement**
    The inventorship for all the claims in this application is:
    ☒   the same

5. **Language**
    ☒   English

6. **Assignment**
    ☒   An assignment of the invention to **The Research Foundation of State University of New York**
               is attached.
        ☒   Form PTO-1595 is also attached.

7. **Fee Calculation (37 C.F.R. § 1.16)**
    ☒   Regular application

CLAIMS AS FILED

	Number Filed	Number Extra	Rate	Basic Fee - $710.00 (37 C.F.R. § 1.16(a))
Total Claims (37 C.F.R. § 1.16(c))		19 - 20 =	0 × $18.00 =	$0.00
Independent Claims (37 C.F.R. § 1.16(b))		5 - 3 =	2 × $80.00 =	$160.00
Multiple Dependent Claim(s), if any (37 C.F.R. § 1.16(d))			+ $270.00 =	$0.00
			Filing Fee Calculation	$870.00

8.   **Small Entity Statement(s)**

    ☒   An executed Verified Statement that this is a filing by a small entity under
37 C.F.R. §§ 1.9 and 1.27 is attached.

                      Filing Fee Calculation (50% of above) $435.00

9.   **Fee Payment Being Made At This Time**

    ☒   Enclosed

            ☒   basic filing fee $435.00

            ☒   recording assignment (37 C.F.R. § 1.21(h)) $40.00

                      Total Fees Enclosed   **$475.00**

10.   **Method of Payment of Fees**

    ☒   Check in the amount of **$475.00**

11.   **Authorization To Charge Additional Fees and Credit Overpayment**

    ☒   The Commissioner is hereby authorized to charge payment of any fees associated with this
communication or credit any overpayment to Deposit Account No.: **08-1290.** An originally
executed duplicate of this transmittal is enclosed for this purpose.

12.   **Power of Attorney by Assignee**

    ☒   An executed Power of Attorney by Assignee is enclosed

13.   **Return Receipt Postcard**
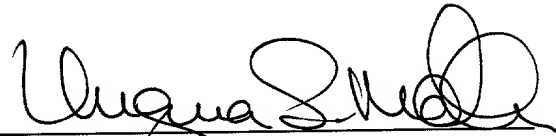
    ☒   Enclosed

14.   **Sequence Listing**

    ☒   A Sequence Listing (paper copy and on computer-readable diskette) is enclosed

15.   **Certificated Re: Sequence Listing**

    ☒   Enclosed

Dated: February 12, 2001

Virginia S. Medley
Registration No.: 32,050

Please direct all communications to:

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☒   **Incorporation By Reference Of Added Pages**

    ☒   Plus Added Pages For New Application Transmittal Where Benefit Of Prior U.S. Application(s)
Claimed

                      Number of pages added <u>1</u>

ADDED PAGES FOR APPLICATION TRANSMITTAL
WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED

16.   **Relate Back**

      A.   **35 U.S.C. § 119(e)**

      B.   **35 U.S.C. §§ 120, 121 and 365(c)**

      ☒   Amend the Specification by inserting before the first line the sentence: "This application claims priority to pending application Serial No.: **60/237,747** filed on **10/02/2000**."

17.   **Further Inventorship Statement Where Benefit Of Prior Application(s) Claimed**
      a.   This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor(s) in this application are
            ☒   the same.